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# Weak\* exactness for dual operator spaces

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## Abstract

We introduce a new tensor product  $\otimes^{\sigma, \vee}$  and study the weak\* condition  $C'$ , which is also called weak\* exactness, for dual operator spaces. Our definition of weak\* condition  $C'$  is equivalent to Kirchberg's notion of weak exactness in the case of von Neumann algebras. We also study the connection of weak\* exact  $W^*$ -TROs with their linking von Neumann algebras and study the structure of exact (respectively, nuclear)  $W^*$ -TROs.

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## 1. Introduction

In 1980, Archbold and Batty discovered an unexpected complication that can occur for non-nuclear  $C^*$ -algebras. They introduced two notions, condition C and condition  $C'$ , for  $C^*$ -algebras in [1]. Later on Kirchberg introduced exactness for  $C^*$ -algebras in [13] and proved in [15] that a  $C^*$ -algebra is exact if and only if it satisfies condition  $C'$ . Kirchberg also showed that condition  $C'$  implies condition  $C''$  introduced by Effros and Haagerup [3] and thus exactness is also equivalent to condition C. The operator space version of this theory has been considered in great detail by Pisier [17] and by Effros, Ozawa and Ruan [7]. The theory has played a significant role in recent

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development of  $C^*$ -algebras and operator spaces. A convenient reference for  $C^*$ -algebra results can be found in Wassermann [22] and references for corresponding operator space results can be found in Effros and Ruan [5] and Pisier [18].

However, exactness does not play much role in von Neumann algebra theory since a von Neumann algebra is exact if and only if it is subhomogeneous (see Kirchberg [14, p. 949]). It is natural to explore a suitable analogue of exactness for von Neumann algebras. This motivated Kirchberg to introduce the notion of weak exactness for von Neumann algebras in [14]. Recently, Ozawa investigated this property again and proved a very nice local characterization of weak exactness for von Neumann algebras in [16]. As a corollary, Ozawa showed that if  $G$  is a discrete group, then the reduced group  $C^*$ -algebra  $C_r^*(G)$  is exact if and only if the group von Neumann algebra  $VN(G)$  is weakly exact. Therefore, we can obtain a non-weakly exact group von Neumann algebra by Gromov's example of non-exact groups.

The goal of this paper is to study weak\* exactness for general dual operator spaces. We also study properties associated with weak\* exact  $W^*$ -TROs. The paper is organized as follows. We first introduce a new right augmented tensor product  $V \otimes^{\sigma, \vee} W^{**}$  for dual operator spaces  $V$  and operator spaces  $W$  in Section 2. We study some properties of this tensor product and show that for certain class of dual operator spaces  $V$ , this new tensor product is injective with respect to arbitrary operator spaces  $W$ . However, it is not known whether it is injective for general dual operator spaces. We introduce a corresponding weak\* condition  $C'$  for dual operator spaces in Section 3. Motivated by Ozawa's results [16, Theorem 2 and Corollary 5], we prove a local characterization of weak\* condition  $C'$  for dual operator spaces in Theorem 3.3. According to this local characterization result, we say that a dual operator space is weak\* exact if it satisfies weak\* condition  $C'$ . As a consequence of this result, it is easy to see that for von Neumann algebras, our definition of weak\* condition  $C'$  (i.e. weak\* exactness) is equivalent to Kirchberg's definition of weak exactness (see definition given in [14, 16]).

In [12], Kaur and the second author proved that a TRO (i.e. a ternary ring of operators)  $V$  is exact if and only if its linking  $C^*$ -algebra  $A(V)$  is exact. We consider the weak\* analogue of this result in Section 4. We are able to show in Theorem 4.1 that a  $W^*$ -TRO  $V$  is weak\* exact if and only if its linking von Neumann algebra  $R(V)$  is weak\* exact. Finally, we study the structure of exact (respectively, nuclear)  $W^*$ -TROs in Section 5. It is known from Kirchberg [14] (respectively, from Wassermann [21]) that a von Neumann algebra  $M$  is exact (respectively, nuclear) if and only if it is subhomogeneous, i.e. we can write

$$M = \prod_{k=1}^m (M_{n_k} \bar{\otimes} L_\infty(X_k, \mu_k))$$

with  $m$  and  $n_k$  ( $k = 1, \dots, m$ ) being positive integers. Our main result (Theorem 5.3) in Section 5 shows that a  $W^*$ -TRO  $V$  with a separable predual is exact (respectively, nuclear) if and only if  $V$  can be split into the decomposition

$$V = V_r \oplus_\infty V_c \quad (1.1)$$

of a row subhomogeneous  $W^*$ -TRO

$$V_r = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_\infty(X_k, \mu_k))$$

with  $\sup_k \{n_k\} < \infty$  and  $m_k \in \mathbf{N} \cup \{\infty\}$ , and a column subhomogeneous  $W^*$ -TRO

$$V_c = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_{\infty}(X_k, \mu_k))$$

with  $\sup_k \{m_k\} < \infty$  and  $n_k \in \mathbf{N} \cup \{\infty\}$ . As a consequence of Theorem 5.3, we can easily show that a dual operator space  $V$  is nuclear if and only if  $V$  can be decomposed into a direct sum as in (1.1).

Some preliminaries can be found in each section. More details on operator spaces can be found in [5,18].

## 2. A new right augmented tensor product $\overset{\sigma, V}{\otimes}$ :

Let us begin this section by recalling the right augmented injective tensor product for operator spaces (see [5, Chapter 14]). Given any linear functional  $F: V \otimes W \rightarrow \mathbf{C}$  which is bounded in each component (i.e.  $F$  is a bounded bilinear functional on  $V \times W$ ), there exists a unique *right weak\* continuous* (i.e. weak\* continuous in the 2nd component) extension  $F_r: V \otimes W^{**} \rightarrow \mathbf{C}$  given by

$$\langle F_r, v \otimes w^{**} \rangle = \langle w^{**}, F(v \otimes \cdot) \rangle \quad (2.1)$$

for all  $v \in V$  and  $w^{**} \in W^{**}$ . If we let  $V \check{\otimes} W$  denote the *operator space injective tensor product* of  $V$  and  $W$ , then we can obtain a canonical inclusion

$$\tau_r: v \otimes w^{**} \in V \otimes W^{**} \rightarrow \tau_r(v \otimes w^{**}) \in (V \check{\otimes} W)^{**},$$

which is given by

$$\langle \tau_r(v \otimes w^{**}), F \rangle = \langle w^{**}, F(v \otimes \cdot) \rangle = \langle F_r, v \otimes w^{**} \rangle \quad (2.2)$$

for all  $F \in (V \check{\otimes} W)^*$ . This inclusion induces an injective operator space tensor product  $\check{\otimes}$  on  $V \otimes W^{**}$ . The completion  $V \check{\otimes} W^{**}$  is called the *right augmented injective tensor product* of  $V$  and  $W^{**}$ .

Now let us assume that  $V$  is a dual operator space with a predual  $V_*$  and assume that  $W$  is an arbitrary operator space. We let

$$B^{\sigma}(V \check{\otimes} W, \mathbf{C}) = \{F \in (V \check{\otimes} W)^* \mid F \text{ is left weak* continuous}\}, \quad (2.3)$$

where the left weak\* continuity of  $F$  means that  $F$  is weak\* continuous in the 1st component. There is a natural operator space matrix norm on  $B^{\sigma}(V \check{\otimes} W, \mathbf{C})$  given by identifying  $M_n(B^{\sigma}(V \check{\otimes} W, \mathbf{C}))$  as a subspace of  $M_n((V \check{\otimes} W)^*) = CB(V \check{\otimes} W, M_n)$ . We note that each  $F \in B^{\sigma}(V \check{\otimes} W, \mathbf{C})$  is uniquely associated with a completely bounded map  $\varphi: W \rightarrow V_*$ , which is determined by

$$\langle \varphi(w), v \rangle = F(v \otimes w).$$

Actually, we can prove in Theorem 2.1 that  $\varphi$  is a completely integral map with completely integral norm  $\iota(\varphi) = \|F\|$ . Let us recall, by an equivalent definition, that a completely bounded map  $\varphi: W \rightarrow V_*$  is said to be *completely integral* with *completely integral norm*  $\iota(\varphi) \leq 1$  if there exists a net of contractive elements  $F_\alpha \in V_* \hat{\otimes} W^*$ , where  $V_* \hat{\otimes} W^*$  is the operator space projective tensor product of  $V_*$  and  $W^*$ , such that

$$F_\alpha(v \otimes w) \rightarrow \langle v, \varphi(w) \rangle \quad (2.4)$$

for all  $v \in V$  and  $w \in W$  (see detail in [4] or in [5, Lemma 12.3.1]). We let  $\mathcal{I}(W, V_*)$  denote the space of all completely integral maps from  $W$  into  $V_*$ .

**Theorem 2.1.** *Given a dual operator space  $V$  with a predual  $V_*$  and an operator space  $W$ , we have the completely isometric isomorphism*

$$B^\sigma(V \check{\otimes} W, \mathbf{C}) = \mathcal{I}(W, V_*).$$

**Proof.** Let us first recall from [5, Lemma 12.3.3] that there exists a completely isometric injection

$$S_0: \varphi \in \mathcal{I}(W, V_*) \rightarrow S_0(\varphi) \in (V \check{\otimes} W)^*$$

given by

$$S_0(\varphi)(v \otimes w) = \langle v, \varphi(w) \rangle$$

for all  $v \in V$  and  $w \in W$ . Since  $\varphi(w) \in V_*$  for every  $w \in W$ ,  $S_0(\varphi)$  is left weak\* continuous and thus is contained in  $B^\sigma(V \check{\otimes} W, \mathbf{C})$ . Therefore,  $S_0$  is a complete isometry from  $\mathcal{I}(W, V_*)$  into  $B^\sigma(V \check{\otimes} W, \mathbf{C})$ . We only need to prove that  $S_0$  is onto.

Let us assume that  $F \in B^\sigma(V \check{\otimes} W, \mathbf{C}) \subseteq (V \check{\otimes} W)^*$  with  $\|F\| \leq 1$ . Then there exists a completely bounded map  $\varphi \in CB(W, V^*) \cong (V \hat{\otimes} W)^*$  such that

$$\langle v, \varphi(w) \rangle = F(v \otimes w)$$

for all  $v \in V$  and  $w \in W$ . Since  $F \in B^\sigma(V \check{\otimes} W, \mathbf{C})$  is left weak\* continuous, we get  $\varphi \in CB(W, V_*)$ . In the following, we want to show that  $\varphi \in \mathcal{I}(W, V_*)$ . Since we have the completely isometric inclusions

$$V \check{\otimes} W \hookrightarrow CB(V_*, W) \hookrightarrow CB(V_*, W^{**}) = (V_* \hat{\otimes} W^*)^*,$$

$F$  has a contractive extension  $\tilde{F} \in (V_* \hat{\otimes} W^*)^{**}$ . By bipolar theorem, we can obtain a net of contractive elements  $\{F_\alpha\} \subseteq V_* \hat{\otimes} W^*$  such that  $F_\alpha \rightarrow \tilde{F}$  with respect to the  $\sigma((V_* \hat{\otimes} W^*)^{**}, (V_* \hat{\otimes} W^*)^*)$  topology. Now for each  $v \in V$  and  $w \in W$ , we have

$$F_\alpha(v \otimes w) \rightarrow \tilde{F}(v \otimes w) = F(v \otimes w) = \langle v, \varphi(w) \rangle.$$

According to the definition given in (2.4), this shows that  $\varphi \in \mathcal{I}(W, V_*)$  with  $\iota(\varphi) \leq 1$ . Therefore, the map  $S_0$  is onto.  $\square$

For each  $F \in B^\sigma(V \check{\otimes} W, \mathbf{C})$ , it is clear that  $F_r: V \otimes W^{**} \rightarrow \mathbf{C}$  is right weak\* continuous. The following result shows that  $F_r$  is also left weak\* continuous.

**Proposition 2.2.** *Given any  $F \in B^\sigma(V \check{\otimes} W, \mathbf{C})$ , its right weak\* continuous extension  $F_r: V \otimes W^{**} \rightarrow \mathbf{C}$  is bi-weak\* continuous on  $V \otimes W^{**}$ .*

**Proof.** Let  $F \in B^\sigma(V \check{\otimes} W, \mathbf{C})$  and let  $\varphi$  be the corresponding completely integral map contained in  $\mathcal{I}(W, V_*)$ . It is known from [5, Corollaries 13.2.2 and 13.3.4] that  $\varphi$  is completely 1-summing and thus can be factored through a column Hilbert space  $\mathcal{H}_c$ . Therefore,  $\varphi$  is weakly compact and thus  $\varphi^{**}$ , which corresponds to  $F_r$ , maps  $W^{**}$  into  $V_*$ . More precisely, let us assume that  $\varphi = \beta \circ \alpha$  with

$$\alpha: W \rightarrow \mathcal{H}_c \quad \text{and} \quad \beta: \mathcal{H}_c \rightarrow V_*.$$

Then for any  $v \in V$  and  $w^{**} \in W^{**}$ , we have

$$\begin{aligned} F_r(v \otimes w^{**}) &= \langle w^{**}, F(v \otimes \cdot) \rangle = \langle w^{**}, \varphi^*(v) \rangle \\ &= \langle w^{**}, \alpha^* \circ \beta^*(v) \rangle = \langle \beta(\alpha^{**}(w^{**})), v \rangle, \end{aligned}$$

where we used the fact that  $\alpha^{**}(W^{**}) \subseteq \mathcal{H}_c^{**} = \mathcal{H}_c$  in the last equality. This shows that  $F_r(\cdot \otimes w^{**}) = \beta \circ \alpha^{**}(w^{**}) \in V_*$  for all  $w^{**} \in W^{**}$ . Therefore,  $F_r$  is left weak\* continuous.  $\square$

As we discussed in (2.2), we can obtain a canonical inclusion

$$\tau_r^\sigma: V \otimes W^{**} \rightarrow B^\sigma(V \check{\otimes} W, \mathbf{C})^*$$

and thus obtain a new tensor norm on  $V \otimes W^{**}$ . We let  $V \check{\otimes}^{\sigma, \vee} W^{**}$  denote its completion. This definition behaves well with respect to matrices. Indeed, if we let  $T_n = M_n^*$  denote the operator dual of  $M_n$ , we can conclude from [5, Corollary 12.3.5] and Theorem 2.1 that

$$\begin{aligned} M_n(B^\sigma(V \check{\otimes} W, \mathbf{C})^*) &= (T_n \hat{\otimes} B^\sigma(V \check{\otimes} W, \mathbf{C}))^* = (T_n \hat{\otimes} \mathcal{I}(W, V_*))^* \\ &= (\mathcal{I}(M_n(W), V_*))^* = B^\sigma(V \check{\otimes} M_n(W), \mathbf{C})^*. \end{aligned}$$

This gives us the isometry

$$M_n(V \check{\otimes}^{\sigma, \vee} W^{**}) = V \check{\otimes}^{\sigma, \vee} M_n(W)^{**}. \quad (2.5)$$

Since  $T_n \hat{\otimes} \mathcal{I}(W, V_*) = \mathcal{I}(W, T_n \hat{\otimes} V_*) = \mathcal{I}(W, M_n(V)_*)$ , we can similarly obtain

$$M_n(V \check{\otimes}^{\sigma, \vee} W^{**}) = M_n(V) \check{\otimes}^{\sigma, \vee} W^{**}. \quad (2.6)$$

Therefore, we can obtain a natural operator space structure on  $V \check{\otimes}^{\sigma, \vee} W^{**}$ .

If we are given a dual operator space  $V$  and operator spaces  $W \subseteq \tilde{W}$ , it is easy to see that the (right) restriction map

$$R: \tilde{F} \in B^\sigma(V \check{\otimes} \tilde{W}, \mathbf{C}) \rightarrow F = \tilde{F}|_{V \check{\otimes} W} \in B^\sigma(V \check{\otimes} W, \mathbf{C})$$

is a complete contraction and the restriction of its adjoint map

$$R^*: B^\sigma(V \check{\otimes} W, \mathbf{C})^* \rightarrow B^\sigma(V \check{\otimes} \tilde{W}, \mathbf{C})^*$$

to  $V \overset{\sigma, \vee}{\check{\otimes}}: W^{**}$  is just the canonical inclusion

$$id_V \otimes \iota^{**}: V \overset{\sigma, \vee}{\check{\otimes}}: W^{**} \rightarrow V \overset{\sigma, \vee}{\check{\otimes}}: \tilde{W}^{**}. \quad (2.7)$$

In the following, we show that for certain classes of dual operator spaces  $V$ , this canonical inclusion  $id_V \otimes \iota^{**}$  is a completely isometric injection. However, we cannot prove such a result for general dual operator spaces and thus we cannot say that  $\overset{\sigma, \vee}{\check{\otimes}}:$  is an injective tensor product.

**Proposition 2.3.** *Let  $M$  be a von Neumann algebra. Then for any operator spaces  $W \subseteq \tilde{W}$ , the restriction map*

$$R: \tilde{F} \in B^\sigma(M \check{\otimes} \tilde{W}, \mathbf{C}) \rightarrow F = \tilde{F}|_{M \check{\otimes} W} \in B^\sigma(M \check{\otimes} W, \mathbf{C})$$

*is a complete quotient. Therefore, the canonical inclusion*

$$id_M \otimes \iota^{**}: M \overset{\sigma, \vee}{\check{\otimes}}: W^{**} \rightarrow M \overset{\sigma, \vee}{\check{\otimes}}: \tilde{W}^{**}$$

*is a completely isometric injection.*

**Proof.** Let us assume that  $\tilde{W} \subseteq B$  is an operator subspace of a unital  $C^*$ -algebra  $B$ . Given any contraction  $F \in B^\sigma(M \check{\otimes} W, \mathbf{C}) \subseteq (M \check{\otimes} W)^*$ , we let  $G \in (M \check{\otimes} B)^*$  be a contractive extension of  $F$ . Then there exist a Hilbert space  $\mathcal{H}$ , a  $*$ -representation  $\pi: M \check{\otimes} B \rightarrow \mathcal{B}(\mathcal{H})$ , and contractive vectors  $\xi, \eta \in \mathcal{H}$  such that

$$G(x \otimes b) = \eta^* \pi(x \otimes b) \xi, \quad \forall x \in M, b \in B.$$

Now we can write

$$\pi = \pi_M \cdot \pi_B,$$

where  $\pi_M(x) = \pi(x \otimes 1)$  and  $\pi_B(b) = \pi(1 \otimes b)$ . Furthermore, we can decompose

$$\pi_M = \pi_M^n \oplus \pi_M^s,$$

where  $\pi_M^n$  is the normal part of  $\pi_M$  and  $\pi_M^s$  is the singular part of  $\pi_M$ . Since

$$(\pi_M^s)^*: \mathcal{B}(\mathcal{H})_* \rightarrow M_*^\perp \quad \text{and} \quad (\pi_M^n)^*: \mathcal{B}(\mathcal{H})_* \rightarrow M_*,$$

it follows from the left weak\* continuity of  $F$  that for each  $b \in W$ ,

$$F(\cdot \otimes b) = \eta^* \pi_M^n(\cdot) \pi_B(b) \xi + \eta^* \pi_M^s(\cdot) \pi_B(b) \xi = \eta^* \pi_M^n(\cdot) \pi_B(b) \xi.$$

Since there exists a central projection  $z \in \pi_M(M)''$  such that  $\pi_M^n(x) = z\pi_M(x)$  and since  $\pi_B$  has a commuting range with  $\pi_M$ , we can write

$$\pi_M^n \cdot \pi_B(x \otimes b) = \pi_M^n(x) \pi_B(b) = z\pi_M(x) \pi_B(b) = z\pi(x \otimes b)$$

for all  $x \in M$  and  $b \in B$ . This shows that  $\pi_M^n \cdot \pi_B$  is again a \*-representation from  $M \check{\otimes} B$  into  $\mathcal{B}(\mathcal{H})$ . Therefore,  $\tilde{F}: M \check{\otimes} \tilde{W} \rightarrow \mathbb{C}$  defined by

$$\tilde{F}(x \otimes b) = \eta^* \pi_M^n(x) \pi_B(b) \xi$$

is a contractive linear extension of  $F$ . It is clear that  $\tilde{F}$  is left weak\* continuous and thus is contained in  $B^\sigma(M \check{\otimes} \tilde{W}, \mathbb{C})$ . This shows that the restriction map  $R$  is a quotient from  $B^\sigma(M \check{\otimes} \tilde{W}, \mathbb{C})$  onto  $B^\sigma(M \check{\otimes} W, \mathbb{C})$ . We can prove that  $R$  is a complete quotient by a standard matricial argument.

In this case, the adjoint map

$$R^*: B^\sigma(M \check{\otimes} W, \mathbb{C})^* \rightarrow B^\sigma(M \check{\otimes} \tilde{W}, \mathbb{C})^*$$

is a completely isometric injection. Then the following commutative diagram

$$\begin{array}{ccc} M \otimes W^{**} & \hookrightarrow & B^\sigma(M \check{\otimes} W, \mathbb{C})^* \\ \downarrow & & \downarrow \\ M \otimes \tilde{W}^{**} & \hookrightarrow & B^\sigma(M \check{\otimes} \tilde{W}, \mathbb{C})^* \end{array}$$

shows that the canonical inclusion  $id_M \otimes \iota^{**}: M \check{\otimes} W^{**} \xrightarrow{\sigma, \vee} M \check{\otimes} \tilde{W}^{**}$  is a completely isometric injection.  $\square$

Proposition 2.3 shows that if  $X = M_*$  is the predual of a von Neumann algebra, then for any operator spaces  $W \subseteq \tilde{W}$ , the restriction map

$$R: \varphi \in \mathcal{I}(\tilde{W}, X) \rightarrow \varphi|_W \in \mathcal{I}(W, X)$$

is a complete quotient. It is worthy to note that this result is still true if  $X$  is an operator space such that  $X$  is completely contractively complemented in its second dual  $X^{**}$  and we have the complete isometry  $\mathcal{I}(W, X) = \mathcal{I}^{\text{ex}}(W, X)$  for all operator spaces  $W$ , where  $\mathcal{I}^{\text{ex}}(W, X)$  is the space of all exactly integral maps from  $W$  into  $X$ . This class of operator spaces includes injective  $C^*$ -algebras, injective operator spaces (equivalently, injective TROs), von Neumann algebras and  $W^*$ -TROs.

Let us recall from [5, Chapter 15] that a completely bounded map  $\varphi: W \rightarrow X$  is said to be *exactly integral* with *exactly integral norm*  $\iota^{\text{ex}}(\varphi) \leq 1$  if it has a factorization

$$\begin{array}{ccc} \mathcal{B}(\mathcal{H}) & \xrightarrow{M(\omega)} & \mathcal{B}(\mathcal{K})^* \\ \uparrow r & & \searrow \tilde{s} \\ W & \xrightarrow{\varphi} & X \xrightarrow{\iota_X} X^{**}, \end{array} \quad (2.8)$$

where  $r: W \rightarrow \mathcal{B}(\mathcal{H})$  and  $\tilde{s}: \mathcal{B}(\mathcal{K})^* \rightarrow X^{**}$  are complete contractions and  $\omega$  is a contractive linear functional on  $\mathcal{B}(\mathcal{H} \otimes \mathcal{K})$ . The map  $M(\omega): \mathcal{B}(\mathcal{H}) \rightarrow \mathcal{B}(\mathcal{K})^*$  is defined by  $M(\omega)(x)(y) = \omega(x \otimes y)$  for  $x \in \mathcal{B}(\mathcal{H})$  and  $y \in \mathcal{B}(\mathcal{K})$ . It is also known (see [5, Corollary 12.3.7]) that a map  $\varphi$  is completely integral with  $\iota(\varphi) \leq 1$  if and only if  $\varphi$  has a factorization (2.8) with  $\tilde{s}: \mathcal{B}(\mathcal{K})^* \rightarrow X^{**}$  being weak\* continuous, i.e.  $\tilde{s} = s^*$  for some  $s: X^* \rightarrow \mathcal{B}(\mathcal{K})$ . Therefore, we always have  $\mathcal{I}(W, X) \subseteq \mathcal{I}^{\text{ex}}(W, X)$  and have  $\iota^{\text{ex}}(\varphi) \leq \iota(\varphi)$  for all  $\varphi \in \mathcal{I}(W, X)$ . It is a quite surprising result of [6] (see [5, Lemma 15.2.2]) that if  $X$  is a  $C^*$ -algebra, then for any operator space  $W$  we have the complete isometry

$$\mathcal{I}(W, X) = \mathcal{I}^{\text{ex}}(W, X).$$

Now we can obtain the following result.

**Proposition 2.4.** *Let  $V$  be a dual operator space such that  $V_*$  is completely contractively complemented in  $V^*$  and we have the isometry  $\mathcal{I}(W, V_*) = \mathcal{I}^{\text{ex}}(W, V_*)$  for all operator spaces  $W$ . Then for any operator spaces  $W \subseteq \tilde{W}$ , the restriction map*

$$R: \varphi \in \mathcal{I}(\tilde{W}, V_*) \rightarrow \varphi|_W \in \mathcal{I}(W, V_*)$$

*is a complete quotient and the canonical inclusion*

$$id_V \otimes \iota^{**}: V \otimes^{\sigma, \vee} W^{**} \rightarrow V \otimes^{\sigma, \vee} \tilde{W}^{**}$$

*is a completely isometric injection.*

**Proof.** Let  $P$  be a completely contractive projection from  $V^*$  onto  $V_*$  such that  $P \circ \iota_{V_*} = id_{V_*}$ . For any  $\varphi \in \mathcal{I}(W, V_*)$  with  $\iota(\varphi) \leq 1$ , we have a commutative diagram

$$\begin{array}{ccc} \mathcal{B}(\mathcal{H}) & \xrightarrow{M(\omega)} & \mathcal{B}(\mathcal{K})^* \\ \uparrow r & & \searrow s^* \\ W & \xrightarrow{\varphi} & V_* \xrightarrow{\iota_{V_*}} V^*, \end{array}$$

where  $r: W \rightarrow \mathcal{B}(\mathcal{H})$  and  $s: V \rightarrow \mathcal{B}(\mathcal{K})$  are complete contractions and  $\omega$  is a contractive linear functional on  $\mathcal{B}(\mathcal{H} \otimes \mathcal{K})$ . For any operator space  $\tilde{W}$  containing  $W$  as an operator subspace,  $r$  has a completely contractive extension  $\tilde{r}: \tilde{W} \rightarrow \mathcal{B}(\mathcal{H})$ . Then  $\tilde{\varphi} = P \circ s^* \circ M(\omega) \circ \tilde{r}$  is an exactly integral map from  $\tilde{W}$  into  $V_*$  with  $\iota^{\text{ex}}(\tilde{\varphi}) \leq 1$ . Since we have the isometric isomorphism



$\mathcal{I}(\tilde{W}, V_*) = \mathcal{I}^{\text{ex}}(\tilde{W}, V_*)$ , the map  $\tilde{\varphi}$  is an extension of  $\varphi$  with  $\iota(\tilde{\varphi}) = \iota^{\text{ex}}(\tilde{\varphi}) \leq 1$ . Therefore, the restriction map  $R$  is a quotient from  $\mathcal{I}(\tilde{W}, V_*)$  onto  $\mathcal{I}(W, V_*)$ . A standard matricial argument shows that  $R$  is a complete quotient.  $\square$

We note that if  $V_*$  is a reflexive operator space, then we have

$$\mathcal{I}(W, V_*) = \mathcal{I}(W, V^*) = (V \check{\otimes} W)^* \quad (2.9)$$

for all operator spaces  $W$ . Given operator spaces  $W \subseteq \tilde{W}$ ,

$$\text{id}_V \otimes \iota: V \check{\otimes} W \hookrightarrow V \check{\otimes} \tilde{W}$$

is a completely isometric injection and thus

$$R = (\text{id}_V \otimes \iota)^*: \mathcal{I}(\tilde{W}, V_*) = (V \check{\otimes} \tilde{W})^* \rightarrow \mathcal{I}(W, V_*) = (V \check{\otimes} W)^*$$

is a complete quotient. Therefore,

$$\text{id}_V \otimes \iota^{**}: V \overset{\sigma, \vee}{\check{\otimes}} W^{**} \rightarrow V \overset{\sigma, \vee}{\check{\otimes}} \tilde{W}^{**}$$

is a completely isometric injection. This shows that such a result also holds for reflexive operator spaces. On the other hand, we may have the following result for the inclusions on dual operator space side.

**Proposition 2.5.** *Let  $V_0$  be a weak\* closed subspace of a dual operator space  $V$  such that there exists a weak\* continuous completely contractive projection  $P: V \rightarrow V_0$ . Then for any operator space  $W$ , the canonical inclusion*

$$\iota \otimes \text{id}_{W^{**}}: V_0 \overset{\sigma, \vee}{\check{\otimes}} W^{**} \rightarrow V \overset{\sigma, \vee}{\check{\otimes}} W^{**}$$

*is a completely isometric injection.*

**Proof.** It is clear that the (left) restriction map

$$L: \tilde{F} \in B^\sigma(V \check{\otimes} W, \mathbb{C}) \rightarrow F = \tilde{F}|_{V_0 \check{\otimes} W} \in B^\sigma(V_0 \check{\otimes} W, \mathbb{C})$$

is a complete contraction. On the other hand, for every  $F \in B^\sigma(V_0 \check{\otimes} W, \mathbb{C})$ , it is clear that  $\tilde{F} = F \circ (P \otimes \text{id}_W)$  is contained in  $B^\sigma(V \check{\otimes} W, \mathbb{C})$  such that  $F = \tilde{F}|_{V_0 \check{\otimes} W}$  and  $\|\tilde{F}\| = \|F\|$ . This shows that  $L$  is a quotient map from  $B^\sigma(V \check{\otimes} W, \mathbb{C})$  onto  $B^\sigma(V_0 \check{\otimes} W, \mathbb{C})$ . A standard matricial argument shows that  $L$  is a complete quotient. Therefore, the restriction of its adjoint map  $L^*$  to  $V_0 \overset{\sigma, \vee}{\check{\otimes}} W^{**}$  induces a completely isometric injection from  $V_0 \overset{\sigma, \vee}{\check{\otimes}} W^{**}$  into  $V \overset{\sigma, \vee}{\check{\otimes}} W^{**}$ .  $\square$

To end this section, we prove the following result related to  $C^*$ -algebras.

**Proposition 2.6.** *Suppose that  $M$  is a von Neumann algebra and  $B$  is a unital  $C^*$ -algebra. Then  $M \overset{\sigma, \vee}{\check{\otimes}} B^{**}$  is a unital  $C^*$ -algebra.*

**Proof.** We first claim that  $B^\sigma(M \check{\otimes} B, \mathbb{C})$  is an  $M \check{\otimes} B$  invariant closed subspace of  $(M \check{\otimes} B)^*$ . Then there exists a central projection  $z$  in  $(M \check{\otimes} B)^{**}$  such that

$$B^\sigma(M \check{\otimes} B, \mathbb{C}) = z \cdot (M \check{\otimes} B)^*$$

(see [20, Theorem III 2.7]) and thus  $B^\sigma(M \check{\otimes} B, \mathbb{C})^*$  is completely isometric to  $z \cdot (M \check{\otimes} B)^{**}$ . Every element  $x \otimes y^{**} \in M \check{\otimes}_{\sigma, \vee} B^{**}$  can be identified with  $z \cdot (x \otimes y^{**})$  in  $M \check{\otimes} B^{**} \subseteq (M \check{\otimes} B)^{**}$ . Therefore, we can obtain a  $C^*$ -algebra norm on  $M \check{\otimes}_{\sigma, \vee} B^{**}$  given by

$$\|u\|_{M \check{\otimes}_{\sigma, \vee} B^{**}} = \|z \cdot u\|_{(M \check{\otimes} B)^{**}}$$

for all  $u = \sum_i x_i \otimes y_i^{**} \in M \otimes B^{**}$ .

To see the claim, we let  $F \in B^\sigma(M \check{\otimes} B, \mathbb{C})$ . Then there exist a Hilbert space  $\mathcal{H}$ , a unital  $*$ -homomorphism  $\pi : M \check{\otimes} B \rightarrow \mathcal{B}(\mathcal{H})$  such that  $\pi$  is left weak $*$  continuous (see argument given in Proposition 2.3), and  $\xi, \eta \in \mathcal{H}$  such that

$$F(u) = \eta^* \pi(u) \xi.$$

Then  $\pi_M = \pi(\cdot \otimes 1)$  and  $\pi_B = \pi(1 \otimes \cdot)$  are unital  $*$ -homomorphisms from  $M$  and  $B$  into  $\mathcal{B}(\mathcal{H})$ , respectively. They have commuting ranges and we may assume that  $\pi_M$  is weak $*$  continuous. In this case, we can write  $\pi = \pi_M \cdot \pi_B$ . Since

$$u \cdot F(x \otimes y) = F((x \otimes y)u) = \eta^* \pi(x \otimes y) \pi(u) \xi = \eta^* \pi_M(x) (\pi_B(y) \pi(u) \xi),$$

this shows that  $u \cdot F$  is a bounded left weak $*$  continuous functional contained in  $B^\sigma(M \check{\otimes} B, \mathbb{C})$ . Similarly, we can prove that  $F \cdot u \in B^\sigma(M \check{\otimes} B, \mathbb{C})$ . Therefore,  $B^\sigma(M \check{\otimes} B, \mathbb{C})$  is an  $M \check{\otimes} B$  invariant closed subspace of  $(M \check{\otimes} B)^*$ .  $\square$

### 3. Weak $*$ condition $C'$ and weak $*$ exactness for dual operator spaces

It is clear that the canonical bilinear map

$$(f, g) \in V_* \times W^* \rightarrow f \otimes g \in B^\sigma(V \check{\otimes} W, \mathbb{C}) \subseteq (V \check{\otimes} W)^*$$

extends to a complete contraction from  $V_* \hat{\otimes} W^*$  into  $B^\sigma(V \check{\otimes} W, \mathbb{C}) \subseteq (V \check{\otimes} W)^*$ . Taking the adjoints, we obtain complete contractions

$$(V \check{\otimes} W)^{**} \rightarrow B^\sigma(V \check{\otimes} W, \mathbb{C})^* \rightarrow (V_* \hat{\otimes} W^*)^*.$$

Since

$$V \check{\otimes} W^{**} \hookrightarrow (V^* \hat{\otimes} W^*)^* = CB(V^*, W^{**})$$

is a completely isometric inclusion, it is easy to see that the identity map on  $V \otimes W^{**}$  extends to complete contractions

$$V \check{\otimes} W^{**} \rightarrow V \check{\otimes}_{\sigma, \vee} W^{**} \rightarrow V \check{\otimes} W^{**}. \quad (3.1)$$

An operator space  $V$  is said to satisfy *condition  $C'$*  if for any operator space  $W$ , we have the completely isometric isomorphism

$$V \check{\otimes} W^{**} = V \check{\otimes} W^{**}.$$

Motivated by this definition, we say that a dual operator space  $V$  satisfies *weak\* condition  $C'$*  if for any operator space  $W$ , we have the completely isometric isomorphism

$$V^{\sigma, \vee} \check{\otimes} W^{**} = V \check{\otimes} W^{**}. \quad (3.2)$$

It is an immediate consequence of (3.1) that weak\* condition  $C'$  is weaker than condition  $C'$  on dual operator spaces  $V$ . It is also easy to see from (2.9) that a reflexive operator space  $V$  satisfies condition  $C'$  if and only if it satisfies weak\* condition  $C'$  since in this case, we always have the complete isometry  $V \check{\otimes} W^{**} = V^{\sigma, \vee} \check{\otimes} W^{**}$  for any operator space  $W$ . We can also easily obtain the following result by applying Proposition 2.5.

**Proposition 3.1.** *Assume that  $V_0$  is a weak\* closed subspace in a dual operator space  $V$  and assume that there exists a weak\* continuous completely contractive projection  $P : V \rightarrow V_0$ . If  $V$  satisfies weak\* condition  $C'$ , then so is  $V_0$ .*

It has been observed by Han [9] that an operator space  $V$  satisfies condition  $C'$  if and only if for any operator space  $W$  and any contractive linear functional  $F \in (V \check{\otimes} W)^*$ , its right weak\* continuous extension  $F_r$  is contractive on  $V \otimes_{\vee} W^{**}$ , where we let  $V \otimes_{\vee} W^{**}$  denote the algebraic tensor product  $V \otimes W^{**}$  equipped with the injective tensor norm  $\|\cdot\|_{\vee}$ . In this case,  $F_r$  extends to a contraction on  $V \check{\otimes} W^{**}$ . We can obtain the corresponding analogue for weak\* condition  $C'$ .

**Proposition 3.2.** *Let  $V$  be a dual operator space. Then the following are equivalent:*

- (1)  $V$  satisfies weak\* condition  $C'$ ;
- (2) for any operator space  $W$ , any Hilbert space  $\mathcal{H}$ , and any left weak\* continuous complete contraction  $\varphi : V \check{\otimes} W \rightarrow \mathcal{B}(\mathcal{H})$ , its right weak\* continuous extension  $\varphi_r$  is completely contractive on  $V \otimes_{\vee} W^{**}$ ;
- (3) for any operator space  $W$  and any contractive linear functional  $F \in B^{\sigma}(V \check{\otimes} W, \mathbb{C})$ , its right weak\* continuous extension  $F_r$  is contractive on  $V \otimes_{\vee} W^{**}$ .

**Proof.** (1)  $\Rightarrow$  (2). Let  $W$  be an arbitrary operator space and  $\varphi : V \check{\otimes} W \rightarrow \mathcal{B}(\mathcal{H})$  a left weak\* continuous complete contraction. Then  $\varphi$  induces a complete contraction

$$\Phi : \mathcal{B}(\mathcal{H})_* \rightarrow B^{\sigma}(V \check{\otimes} W, \mathbb{C})$$

given by  $\Phi(\omega) = \omega \circ \varphi$ . The adjoint map

$$\Phi^* : B^{\sigma}(V \check{\otimes} W, \mathbb{C})^* \rightarrow \mathcal{B}(\mathcal{H})$$

is a complete contraction from  $B^{\sigma}(V \check{\otimes} W, \mathbb{C})^*$  into  $\mathcal{B}(\mathcal{H})$  such that

$$\langle \varphi_r(v \otimes w^{**}), \omega \rangle = \langle v \otimes w^{**}, (\omega \circ \varphi)_r \rangle = \langle \Phi^*(v \otimes w^{**}), \omega \rangle$$

for all  $v \in V$ ,  $w^{**} \in W^{**}$  and  $\omega \in \mathcal{B}(\mathcal{H})_*$ . Then the right weak\* continuous extension

$$\varphi_r = \Phi^*|_{V \otimes W^{**}} : V \otimes W^{**} \rightarrow \mathcal{B}(\mathcal{H})$$

is a well-defined (bi-weak\* continuous) complete contraction with respect to the new right augmented tensor norm. Now if  $V$  satisfies weak\* condition  $C'$ , then we have the complete isometry

$$V \check{\otimes} W^{**} = V \check{\otimes}^{\sigma, \vee} W^{**} \text{ and thus } \varphi_r \text{ is a complete contraction from } V \otimes_{\vee} W^{**} \text{ into } \mathcal{B}(\mathcal{H}).$$

(2)  $\Rightarrow$  (3) is obvious.

(3)  $\Rightarrow$  (1). Given any  $z \in V \otimes W^{**}$ , we always have

$$\|z\|_{V \check{\otimes} W^{**}} \leq \|z\|_{V \check{\otimes}^{\sigma, \vee} W^{**}}.$$

On the other hand, it follows from the hypothesis that

$$\begin{aligned} \|z\|_{V \check{\otimes}^{\sigma, \vee} W^{**}} &= \sup\{|\langle F, \tau_r^\sigma(z) \rangle| : \forall F \in B^\sigma(V \check{\otimes} W, \mathbf{C})_1\} \\ &= \sup\{|\langle F_r, z \rangle| : \forall F \in B^\sigma(V \check{\otimes} W, \mathbf{C})_1\} \\ &\leq \sup\{|\langle \tilde{F}, z \rangle| : \forall \tilde{F} \in (V \check{\otimes} W^{**})_1^*\} = \|z\|_{V \check{\otimes} W^{**}}. \end{aligned}$$

Therefore, we have the isometric isomorphism  $V \check{\otimes}^{\sigma, \vee} W^{**} = V \check{\otimes} W^{**}$ . Since  $W$  is arbitrary, we may use (2.5) to obtain the isometric isomorphisms

$$M_n(V \check{\otimes}^{\sigma, \vee} W^{**}) = V \check{\otimes}^{\sigma, \vee} M_n(W)^{**} = V \check{\otimes} M_n(W)^{**} = M_n(V \check{\otimes} W^{**})$$

for all  $n \in \mathbf{N}$ . This shows that  $V$  satisfies weak\* condition  $C'$ .  $\square$

The following theorem is a natural dual operator space analogue of Ozawa's local characterization results for von Neumann algebras in [16, Corollary 5 and (1) and (2) in Theorem 2]. The proofs of (1)  $\Rightarrow$  (2)  $\Rightarrow$  (3) are similar to the corresponding arguments given in [16]. The proof of (3)  $\Rightarrow$  (1) is new.

**Theorem 3.3.** *Suppose that  $V$  is a dual operator space. Then the following are equivalent:*

- (1)  $V$  satisfies weak\* condition  $C'$ ;
- (2) for any operator space  $W$  and any finite rank complete contraction  $\varphi : W^* \rightarrow V$ , there exists a net of weak\* continuous finite rank complete contractions  $\varphi_\alpha : W^* \rightarrow V$  which converges to  $\varphi$  in the point-weak\* topology;
- (3) for any finite-dimensional operator subspace  $E \subseteq V$ , there exist nets of complete contractions  $\varphi_i : E \rightarrow M_{n(i)}$  and  $\psi_i : \varphi_i(E) \rightarrow V$  such that the net  $\{\psi_i \circ \varphi_i\}$  converges to  $\text{id}_E$  in the point-weak\* topology.

**Proof.** (1)  $\Rightarrow$  (2). Since  $V$  satisfies weak\* condition  $C'$ , we have the completely isometric inclusion

$$V \check{\otimes} W^{**} = V \check{\otimes}^{\sigma, \vee} W^{**} \hookrightarrow B^\sigma(V \check{\otimes} W, \mathbf{C})^*.$$

Assume that  $\varphi$  is a finite rank complete contraction from  $W^*$  into  $V$ . Then it follows from the Hahn–Banach theorem that

$$\varphi \in V \check{\otimes} W^{**} = V \check{\otimes}^{\sigma, \vee} W^{**} \hookrightarrow B^\sigma(V \check{\otimes} W, \mathbf{C})^*$$

has a norm preserving extension  $\tilde{\varphi} : (V \check{\otimes} W)^* \rightarrow \mathbf{C}$ , i.e.,  $\tilde{\varphi} \in (V \check{\otimes} W)_1^{**}$ . We can choose a net  $\{\varphi_\alpha\} \subseteq V \otimes_\vee W \subseteq (V \check{\otimes} W)^{**}$  with  $\|\varphi_\alpha\|_\vee \leq 1$  and  $\varphi_\alpha \rightarrow \tilde{\varphi}$  in the  $\sigma((V \check{\otimes} W)^{**}, (V \check{\otimes} W)^*)$  topology. Since  $\varphi_\alpha \in V \otimes_\vee W$ ,  $\{\varphi_\alpha\}$  is a net of weak\* continuous finite rank complete contractions from  $W^*$  into  $V$ . For any  $f \in W^*$ ,  $g \in V_*$ , we get  $g \otimes f \in V_* \otimes W^* \subseteq B^\sigma(V \check{\otimes} W, \mathbf{C}) \subseteq (V \check{\otimes} W)^*$  and thus have

$$\begin{aligned} \langle \varphi_\alpha(f), g \rangle &= \langle \varphi_\alpha, g \otimes f \rangle \rightarrow \langle \tilde{\varphi}, g \otimes f \rangle \\ &= \langle \varphi, g \otimes f \rangle = \langle \varphi(f), g \rangle. \end{aligned}$$

This shows that  $\varphi_\alpha \rightarrow \varphi$  in the point-weak\* topology.

(2)  $\Rightarrow$  (3). Let  $V \subseteq \mathcal{B}(\mathcal{H})$  and  $E \subseteq V$  be a finite-dimensional operator space. We let  $\mathcal{F}$  denote the collection of all finite-dimensional subspaces of  $\mathcal{H}$  and  $\mathcal{F}$  have the partial ordering  $F \preceq F'$  if  $F \subseteq F'$ . The family  $\mathcal{U}_0$  of intervals

$$I(F) = \{F' \in \mathcal{F} : F \preceq F'\}$$

is a filter on  $\mathcal{F}$  and we let  $\mathcal{U}$  be an ultrafilter containing  $\mathcal{U}_0$ . For any  $F \in \mathcal{F}$ , we let  $\Phi_F : E \rightarrow \mathcal{B}(F) = M_{n(F)}$  denote the compression corresponding to  $F$ , where  $n(F) = \dim F$ . As the proof given in [17] or in [16], we may select a finite-dimensional subspace  $F_0 \in \mathcal{F}$  such that  $\Phi_{F_0}$  is a linear isomorphism from  $E$  onto  $\Phi_{F_0}(E)$  for  $F_0 \preceq F$  in  $\mathcal{F}$ . We let  $\Phi_F^{-1} = 0$  for  $F \notin I(F_0)$ . Consider the complete isometry

$$\Phi : a \in E \rightarrow (\Phi_F(a))_{F \in \mathcal{F}} \in \prod_{F \in \mathcal{F}} \Phi_F(E).$$

We note that  $\prod_{F \in \mathcal{F}} \Phi_F(E) \subseteq \prod_{F \in \mathcal{F}} M_{n(F)}$  is a weak\* closed operator subspace and hence is a dual operator space. The map  $\Phi$  has a left inverse given by

$$\Psi : (x_F)_{F \in \mathcal{F}} \in \prod_{F \in \mathcal{F}} \Phi_F(E) \rightarrow w^*\text{-}\lim_{\mathcal{U}} \Phi_F^{-1}(x_F) \in E \subseteq V.$$

Indeed,  $\Psi$  is a well-defined finite rank complete contraction such that  $\Psi \circ \Phi = id_E$ . From (2), we can obtain a net of finite rank weak\* continuous complete contractions  $\psi_\alpha : \prod_{F \in \mathcal{F}} \Phi_F(E) \rightarrow V$  such that  $\lim_\alpha \psi_\alpha = \Psi$  in the point-weak\* topology. Let  $\beta$  be an arbitrary finite subset of  $\mathcal{F}$ , we set

$$\varphi_\beta : a \in E \rightarrow (\varphi_{\beta, F}(a))_{F \in \mathcal{F}} \in \prod_{F \in \mathcal{F}} \Phi_F(E),$$

where  $\varphi_{\beta, F}(a) = \Phi_F(a)$  if  $F \in \beta$  and  $\varphi_{\beta, F}(a) = 0$  if  $F \notin \beta$ . Then we have  $\varphi_\beta(E) \subseteq \bigoplus_{F \in \beta} M_{n(F)}$ . The set  $I$  of all finite subsets  $\beta$  in  $\mathcal{F}$  has a natural ordering given by  $\beta \preceq \beta'$  if

$\beta \subseteq \beta'$ . If we let  $\mathcal{V}$  be an ultrafilter on  $I$  with respect to this ordering, we get  $\lim_{\mathcal{V}} \varphi_{\beta} = \Phi$  in the point-weak\* topology. Since  $\psi_{\alpha}$  is weak\* continuous, then we have

$$\lim_{\alpha} \lim_{\mathcal{V}} \psi_{\alpha} \circ \varphi_{\beta} = \lim_{\alpha} \psi_{\alpha} \circ (\lim_{\mathcal{V}} \varphi_{\beta}) = \lim_{\alpha} \psi_{\alpha} \circ \Phi = \Psi \circ \Phi = id_E$$

in the point-weak\* topology.

(3)  $\Rightarrow$  (1). For any finite-dimensional subspace  $E$  of  $V$ , it follows from (3) that there exist complete contractions  $\varphi_{i,E} : E \rightarrow M_{n(i,E)}$ ,  $\psi_{i,E} : \varphi_{i,E}(E) \rightarrow V$  such that  $\psi_{i,E} \circ \varphi_{i,E} \rightarrow id_E$  in the point-weak\* topology. Now for any operator space  $W$  and any left weak\* continuous contractive linear functional  $F : V \check{\otimes} W \rightarrow \mathbb{C}$ , we want to show that its right weak\* continuous extension  $F_r : V \otimes W^{**} \rightarrow \mathbb{C}$  is contractive with respect to the injective tensor norm. We set

$$F_{i,E} = F \circ (\psi_{i,E} \otimes id_W) : \varphi_{i,E}(E) \check{\otimes} W \rightarrow \mathbb{C}.$$

Since  $\varphi_{i,E}(E)$  is a finite-dimensional subspace of  $M_{n(i,E)}$ ,  $\varphi_{i,E}(E)$  is (weak\*) exact and  $F_{i,E}$  is a left weak\* continuous contraction. It follows from Proposition 3.2 that its right weak\* continuous extension

$$(F_{i,E})_r : \varphi_{i,E}(E) \check{\otimes} W^{**} \rightarrow \mathbb{C}$$

is contractive. We define

$$G_{i,E} = (F_{i,E})_r \circ (\varphi_{i,E} \otimes id_{W^{**}}) : E \check{\otimes} W^{**} \rightarrow \mathbb{C}.$$

Then it is clear that  $G_{i,E}$  is contractive and has a norm-preserving extension

$$\tilde{G}_{i,E} : V \check{\otimes} W^{**} \rightarrow \mathbb{C}.$$

By the compactness of  $(V \check{\otimes} W^{**})_1^*$ ,  $\tilde{G}_{i,E}$  has a weak\* cluster point  $\tilde{G}_E$  in  $(V \check{\otimes} W^{**})_1^*$ . For any  $v \in E$  and  $w^{**} \in W^{**}$ , there exists a net  $\{w_{\alpha}\} \subseteq W$  such that  $w_{\alpha} \rightarrow w^{**}$  in the  $\sigma(W^{**}, W^*)$  topology. Thus we have

$$\begin{aligned} \tilde{G}_E(v \otimes w^{**}) &= \lim_i \tilde{G}_{i,E}(v \otimes w^{**}) \\ &= \lim_i (F_{i,E})_r \circ (\varphi_{i,E} \otimes id_{W^{**}})(v \otimes w^{**}) \\ &= \lim_i (F_{i,E})_r(\varphi_{i,E}(v) \otimes w^{**}) \\ &= \lim_i \lim_{\alpha} (F_{i,E})_r(\varphi_{i,E}(v) \otimes w_{\alpha}) \\ &= \lim_i \lim_{\alpha} F_{i,E}(\varphi_{i,E}(v) \otimes w_{\alpha}) \\ &= \lim_i \lim_{\alpha} F \circ (\psi_{i,E} \otimes id_W) \circ (\varphi_{i,E} \otimes id_W)(v \otimes w_{\alpha}) \\ &= \lim_i \lim_{\alpha} F(\psi_{i,E} \circ \varphi_{i,E}(v) \otimes w_{\alpha}) \\ &= \lim_i F_r(\psi_{i,E} \circ \varphi_{i,E}(v) \otimes w^{**}) \\ &= F_r(v \otimes w^{**}), \end{aligned}$$

where all limits converge with respect to the corresponding weak\* topologies, the fourth equalities and the eighth equalities follow from the bi-weak\* continuity of  $(F_{i,E})_r$  and  $F_r$ , and the last equation follows from  $\psi_{i,E} \circ \varphi_{i,E} \rightarrow id_E$  in the point-weak\* topology. Similarly, there exists a point-weak\* cluster  $G$  of the net  $\{\tilde{G}_E\} \subseteq (V \check{\otimes} W^{**})_1^*$ . From the above proof, we know that for any finite-dimensional subspace  $E \subseteq V$ ,

$$\tilde{G}_E|_{E \otimes W^{**}} = F_r|_{E \otimes W^{**}}.$$

This implies that

$$G|_{V \otimes_\vee W^{**}} = F_r|_{V \otimes_\vee W^{**}}.$$

Since  $G \in (V \check{\otimes} W^{**})_1^*$ ,  $F_r$  is contractive with respect to the injective tensor norm. Then it follows from the Proposition 3.2 that  $V$  satisfies weak\* condition  $C'$ .  $\square$

Theorem 3.3 shows that a dual operator space  $V$  satisfies weak\* condition  $C'$  if and only if it satisfies a very nice local weak\* exactness condition. Therefore, we also say that  $V$  is *weak\* exact* in this case. If  $V = M$  is a von Neumann algebra, it is easy to see from Ozawa's proof in [16, Theorem 2] that condition (3) in our Theorem 3.3 is equivalent to his condition (2) in [16, Theorem 2]. Therefore, we may easily obtain the following result.

**Proposition 3.4.** *Let  $M$  be a von Neumann algebra. Then  $M$  satisfies weak\* condition  $C'$  (i.e.  $M$  is weak\* exact) if and only if  $M$  is weakly exact.*

Let  $F : V \otimes W \rightarrow \mathbf{C}$  be a linear functional, which is bounded in each component. We can similarly define a unique left weak\* continuous linear functional  $F_l : V^{**} \otimes W \rightarrow \mathbf{C}$  given by

$$\langle F_l, v^{**} \otimes w \rangle = \langle v^{**}, F(\cdot \otimes w) \rangle \quad (3.3)$$

for all  $v^{**} \in V^{**}$  and  $w \in W$ . This induces a canonical inclusion

$$\tau_l : V^{**} \otimes W \rightarrow (V \check{\otimes} W)^{**}$$

and we can obtain the *left augmented injective tensor product*  $V^{**} : \check{\otimes} W$ . An operator space  $V$  is said to satisfy *condition  $C''$*  (which is equivalent to the *local reflexivity* of  $V$ ) if the identity map on  $V^{**} \otimes W$  extends to a completely isometric isomorphism

$$V^{**} : \check{\otimes} W = V^{**} \check{\otimes} W$$

for all  $W$ . We also note that if  $F \in (V \check{\otimes} W)^*$ , the corresponding operator  $\varphi_F : V \rightarrow W^*$  is weakly compact (in fact, it can be factored through a Hilbert space) and thus two bi-weak\* continuous linear functionals  $(F_r)_l$  and  $(F_l)_r$  coincide on  $V^{**} \otimes W^{**}$  (see [2, Section 1.9] and Han [9]). In this case, we can obtain a canonical inclusion

$$\tau : V^{**} \otimes W^{**} \rightarrow (V \check{\otimes} W)^{**}$$

given by

$$\langle \tau(v^{**} \otimes w^{**}), F \rangle = \langle (F_l)_r, v^{**} \otimes w^{**} \rangle = \langle (F_r)_l, v^{**} \otimes w^{**} \rangle.$$

Therefore, we can obtain the *augmented injective tensor product*  $V^{**} \overset{\sigma, \vee}{\otimes} W^{**}$  and we say that  $V$  has *condition C* if we have the complete isometry

$$V^{**} \overset{\sigma, \vee}{\otimes} W^{**} = V^{**} \check{\otimes} W^{**}$$

for all operator spaces  $W$ .

**Lemma 3.5.** *Suppose that  $V$  is a locally reflexive operator space. Then for any operator space  $W$ , we have the complete isometry*

$$V^{**} \overset{\sigma, \vee}{\otimes} W^{**} = V^{**} \overset{\sigma, \vee}{\otimes} W^{**}.$$

**Proof.** Let us first note that  $V$  is locally reflexive if and only if for any operator space  $W$ , we have the complete isometries

$$(V \check{\otimes} W)^* = \mathcal{I}(W, V^*) = B^\sigma(V^{**} \check{\otimes} W, \mathbb{C}),$$

where the first equality follows from the local reflexivity of  $V$  and the second equality follows from Theorem 2.1. The isomorphism  $(V \check{\otimes} W)^* = B^\sigma(V^{**} \check{\otimes} W, \mathbb{C})$  is given by

$$F \in (V \check{\otimes} W)^* \rightarrow F_1 \in B^\sigma(V^{**} \check{\otimes} W, \mathbb{C}).$$

Therefore, we obtain

$$\langle \tau(v^{**} \otimes w^{**}), F \rangle = \langle (F_1)_r, v^{**} \otimes w^{**} \rangle = \langle F_1(v^{**} \otimes \cdot), w^{**} \rangle$$

for all  $v^{**} \in V^{**}$ ,  $w^{**} \in W^{**}$  and  $F \in (V \check{\otimes} W)^*$ . This shows that the inclusion

$$\tau : V^{**} \otimes W^{**} \hookrightarrow (V \check{\otimes} W)^{**}$$

coincides with

$$\tau_r^\sigma : V^{**} \otimes W^{**} \hookrightarrow B^\sigma(V^{**} \check{\otimes} W, \mathbb{C})^* = (V \check{\otimes} W)^{**}$$

on  $V^{**} \otimes W^{**}$ . Therefore, the local reflexivity of  $V$  implies the complete isometry  $V^{**} \overset{\sigma, \vee}{\otimes} W^{**} = V^{**} \overset{\sigma, \vee}{\otimes} W^{**}$ .  $\square$

Kirchberg showed in [14] that a  $C^*$ -algebra  $B$  is exact if and only if  $B$  is locally reflexive and  $B^{**}$  is weakly exact. The following result shows that the corresponding result holds for operator spaces.

**Theorem 3.6.** *An operator space  $V$  is exact if and only if  $V$  is locally reflexive and  $V^{**}$  is weak\* exact (i.e.  $V^{**}$  satisfies weak\* condition C').*



**Proof.** Suppose that the operator space  $V$  is exact. Then it is known from [7] that  $V$  is locally reflexive and satisfies condition C. Thus we get the complete isometries

$$V^{**} \check{\otimes} W^{**} = V^{**} : \check{\otimes} W^{**} = V^{**} \overset{\sigma, \vee}{\otimes} W^{**}$$

by Lemma 3.5. This shows that  $V^{**}$  satisfies weak\* condition  $C'$ .

Conversely, suppose that  $V$  is locally reflexive and  $V^{**}$  satisfies weak\* condition  $C'$ . For any operator space  $W$ , it follows from Lemma 3.5 that we have the complete isometries

$$V^{**} \check{\otimes} W^{**} = V^{**} \overset{\sigma, \vee}{\otimes} W^{**} = V^{**} : \check{\otimes} W^{**}.$$

So  $V$  satisfies the condition C. Therefore,  $V$  is exact.  $\square$

#### 4. Weak\* exact $W^*$ -TROs

In the recent development of operator space theory, there is an increasing interest in the study of ternary rings of operators. A ternary ring of operators (or simply, TRO) between Hilbert spaces  $\mathcal{K}$  and  $\mathcal{H}$  is a norm closed subspace  $V$  of  $\mathcal{B}(\mathcal{K}, \mathcal{H})$ , which is closed under the triple product

$$(x, y, z) \in V \times V \times V \rightarrow xy^*z \in V.$$

A TRO  $V \subseteq \mathcal{B}(\mathcal{K}, \mathcal{H})$  is called a  $W^*$ -TRO if it is weak\* closed (equivalently, weak operator closed, or strong operator closed) in  $\mathcal{B}(\mathcal{K}, \mathcal{H})$ . TROs were first introduced by Hestenes [11], and have been intensively studied by Harris [10], Zettl [23], Effros, Ozawa, Ruan [7], Kaur, Ruan [12] and Ruan [19]. Given a TRO  $V \subseteq \mathcal{B}(\mathcal{K}, \mathcal{H})$ , we let  $V^\sharp = \{x^* \in \mathcal{B}(\mathcal{H}, \mathcal{K}) : x \in V\}$  denote the *adjoint space* of  $V$ . Then  $V^\sharp$  is again a TRO. We let  $VV^\sharp$  and  $V^\sharp V$  denote the linear spans of  $vw^*$  and  $v^*w$  for all  $v, w \in V$ , respectively. Then  $VV^\sharp$  and  $V^\sharp V$  are  $*$ -subalgebras of  $\mathcal{B}(\mathcal{H})$  and  $\mathcal{B}(\mathcal{K})$ , and we let

$$C(V) = \overline{VV^\sharp}^{\|\cdot\|} \quad \text{and} \quad D(V) = \overline{V^\sharp V}^{\|\cdot\|}$$

denote the  $C^*$ -algebras generated by  $VV^\sharp$  and  $V^\sharp V$ , respectively.

If  $V$  is a TRO contained in  $\mathcal{B}(\mathcal{K}, \mathcal{H})$ , then

$$A(V) = \begin{bmatrix} C(V) & V \\ V^\sharp & D(V) \end{bmatrix}$$

is the  $C^*$ -subalgebra of  $\mathcal{B}(\mathcal{H} \oplus \mathcal{K})$  generated by  $V$  via the canonical TRO-inclusion

$$\iota_V : v \in V \rightarrow \iota_V(v) = \begin{bmatrix} 0 & v \\ 0 & 0 \end{bmatrix} \in \mathcal{B}(\mathcal{H} \oplus \mathcal{K}).$$

It is known from [8,12] that  $A(V)$  is uniquely determined by  $V$  (up to TRO-isomorphisms) and is just the  $C^*$ -envelope of  $V$ . We call  $A(V)$  the *linking  $C^*$ -algebra* of  $V$ .

If we let  $M(V)$  and  $N(V)$  denote the multiplier  $C^*$ -algebras of  $C(V)$  and  $D(V)$ , respectively, we may identify  $V$  with the off-diagonal corner of the unital  $C^*$ -algebra

$$R(V) = \begin{bmatrix} M(V) & V \\ V^\sharp & N(V) \end{bmatrix}.$$

If  $V$  is a  $W^*$ -TRO contained in  $\mathcal{B}(\mathcal{K}, \mathcal{H})$ , then it is known from [7,12] that  $R(V) = A(V)''$  is a von Neumann algebra. In this case, we call  $R(V)$  the *linking von Neumann algebra* of  $V$ . If we let

$$e = \begin{bmatrix} 1_{\mathcal{H}} & 0 \\ 0 & 0 \end{bmatrix} \quad \text{and} \quad e^\perp = \begin{bmatrix} 0 & 0 \\ 0 & 1_{\mathcal{K}} \end{bmatrix}$$

denote the corresponding projections on  $\mathcal{H}$  and  $\mathcal{K}$ , respectively, then we may identify  $V$  with the off-diagonal corner  $\iota_V(V)$  of  $R(V)$  and we can write

$$V = eR(V)e^\perp.$$

We can also identify von Neumann algebras  $M(V)$  and  $N(V)$  with  $eR(V)e$  and  $e^\perp R(V)e^\perp$  and identify  $V^\sharp$  with  $e^\perp R(V)e$ .

Without loss of generality, we may always assume that a TRO is non-degenerately represented on Hilbert spaces  $\mathcal{K}$  and  $\mathcal{H}$ , i.e.  $V\mathcal{K}$  is norm dense in  $\mathcal{H}$  and  $V^\sharp\mathcal{H}$  is norm dense in  $\mathcal{K}$ . More details about TROs can be found in [7,12,19].

Now if  $V$  is a  $W^*$ -TRO, we let  $\iota_V : V \hookrightarrow R(V)$  be the canonical inclusion. Then  $V \check{\otimes} B^{**}$  can be identified with the off-diagonal corner, i.e.  $(1, 2)$ -corner,  $(e \otimes 1)(R(V) \check{\otimes} B^{**})(e^\perp \otimes 1)$  in  $R(V) \check{\otimes} B^{**}$  via the inclusion map  $\iota_V \otimes id_{B^{**}}$  and  $M(V) \check{\otimes} B^{**}$  can be identified with the upper diagonal corner, i.e.  $(1, 1)$ -corner,  $(e \otimes 1)(R(V) \check{\otimes} B^{**})(e \otimes 1)$  in  $R(V) \check{\otimes} B^{**}$ . Therefore, the multiplication in  $R(V) \check{\otimes} B^{**}$  determines a completely contractive left  $M(V) \check{\otimes} B^{**}$  module structure on  $V \check{\otimes} B^{**}$ . Given  $u \in M(V) \otimes B^{**}$ , and  $w \in V \check{\otimes} B^{**}$  we get  $uw \in V \check{\otimes} B^{**}$  such that

$$\sup\{\|uw\|_{V \check{\otimes} B^{**}} : \|w\|_{V \check{\otimes} B^{**}} \leq 1\} \leq \|u\|_{M(V) \check{\otimes} B^{**}}.$$

This is also true if we take  $w = [w_\alpha] \in M_{1,I}(V \check{\otimes} B^{**})$  as a  $1 \times I$  row contraction.

To prove the reverse inequality, we need the following fact for  $W^*$ -TROs. It is known from the proof of [19, Theorem 3.1] that there exists a net of partial isometries  $\{v_\alpha\}_{\alpha \in I}$  in  $V$  such that  $v_\alpha v_\alpha^*$  are mutually orthogonal projections in  $M(V)$  with  $\sum_{\alpha \in I} v_\alpha v_\alpha^* = 1_{M(V)}$ . Then  $[w_\alpha] = [v_\alpha \otimes 1]$  is a row contraction in  $M_{1,I}(V \check{\otimes} B^{**})$  such that

$$\begin{aligned} \|u[(v_\alpha \otimes 1)]\|_{M_{1,I}(V \check{\otimes} B^{**})} &= \left\| u \left( \sum_{\alpha \in I} v_\alpha v_\alpha^* \otimes 1 \right) u^* \right\|_{M(V) \check{\otimes} B^{**}}^{\frac{1}{2}} \\ &= \|uu^*\|_{M(V) \check{\otimes} B^{**}}^{\frac{1}{2}} = \|u\|_{M(V) \check{\otimes} B^{**}}. \end{aligned}$$

This shows that

$$\|u\|_{M(V) \check{\otimes} B^{**}} = \sup\{\|[uw_\alpha]\|_{M_{1,I}(V \check{\otimes} B^{**})} : \|[w_\alpha]\|_{M_{1,I}(V \check{\otimes} B^{**})} \leq 1\}. \quad (4.1)$$

We can similarly prove that

$$\|u\|_{M(V) \otimes: B^{**}}^{\sigma, \vee} = \sup\{\| [uw_\alpha] \|_{M_{1,I}(V \otimes: B^{**})}^{\sigma, \vee} : \| [w_\alpha] \|_{M_{1,I}(V \otimes: B^{**})}^{\sigma, \vee} \leq 1\}. \quad (4.2)$$

To see this, let us first remark that since we can identify

$$V \check{\otimes} B = (e \otimes 1)(R(V) \check{\otimes} B)(e^\perp \otimes 1),$$

we obtain

$$B^\sigma(V \check{\otimes} B, \mathbf{C}) = (e^\perp \otimes 1) \cdot B^\sigma(R(V) \check{\otimes} B, \mathbf{C}) \cdot (e \otimes 1)$$

and thus

$$B^\sigma(V \check{\otimes} B, \mathbf{C})^* = (e \otimes 1) \cdot B^\sigma(R(V) \check{\otimes} B, \mathbf{C})^* \cdot (e^\perp \otimes 1).$$

This shows that

$$V \check{\otimes}: B^{**} = (e \otimes 1)(R(V) \check{\otimes}: B^{**})(e^\perp \otimes 1).$$

Therefore,  $V \check{\otimes}: B^{**}$  is a TRO, which can be identified with the  $(1, 2)$ -corner in the unital  $C^*$ -algebra  $R(V) \check{\otimes}: B^{**}$  (see Proposition 2.6). Similarly,  $M(V) \check{\otimes}: B^{**}$  is a unital  $C^*$ -subalgebra, which can be identified with the  $(1, 1)$ -corner in  $R(V) \check{\otimes}: B^{**}$ . We also note that if  $\{v_\alpha\}$  is a net of partial isometries in  $V$  as we discussed above, then  $\{v_\alpha \otimes 1\}$  is a net of partial isometries in  $V \check{\otimes}: B^{**}$  such that

$$\sum_{\alpha \in I} (v_\alpha \otimes 1)(v_\alpha \otimes 1)^* = \sum_{\alpha \in I} (v_\alpha v_\alpha^* \otimes 1) = 1 \otimes 1$$

in  $M(V) \check{\otimes}: B^{**}$ . Then we can get (4.2) as we discussed for (4.1).

Kaur and the second author proved in [12, Theorem 4.4] that a TRO  $V$  is exact if and only if its linking  $C^*$ -algebra  $A(V)$  is exact. The following is a weak\* analogue for  $W^*$ -TROs.

**Theorem 4.1.** *Let  $V$  be a  $W^*$ -TRO.*

- (1) *If  $V$  is weak\* exact, then  $M(V)$  and  $N(V)$  are also weak\* exact.*
- (2)  *$V$  is weak\* exact if and only if its linking von Neumann algebra  $R(V)$  is weak\* exact.*

**Proof.** We first note from Proposition 2.3 that if  $M$  is a von Neumann algebra, then we have the completely isometric injection

$$M \check{\otimes}: W^{**} \rightarrow M \check{\otimes}: \mathcal{B}(\mathcal{H})^{**}$$

for any operator space  $W \subseteq \mathcal{B}(\mathcal{H})$ . By the injectivity of the injective operator space tensor product  $\check{\otimes}$ , we can conclude that  $M$  is weak\* exact, i.e.  $M$  satisfies weak\* condition  $C'$ , if and only if we have the (completely) isometric isomorphism

$$M \overset{\sigma, \vee}{\otimes} B^{**} = M \check{\otimes} B^{**}$$

for all unital  $C^*$ -algebras  $B$ .

Now let us assume that  $V$  is weak\* exact. For any unital  $C^*$ -algebra  $B$ , we have the complete isometry

$$V \check{\otimes} B^{**} = V \overset{\sigma, \vee}{\otimes} B^{**}.$$

Therefore, we can conclude from (4.1) and (4.2) that

$$\|u\|_{M(V) \check{\otimes} B^{**}} = \|u\|_{M(V) \overset{\sigma, \vee}{\otimes} B^{**}}$$

for any  $u \in M(V) \otimes B^{**}$ . This shows that

$$M(V) \check{\otimes} B^{**} = M(V) \overset{\sigma, \vee}{\otimes} B^{**}.$$

Therefore,  $M(V)$  satisfies weak\* condition  $C'$  and thus is weak\* exact. We can similarly prove that for any unital  $C^*$ -algebra  $B$ ,

$$N(V) \check{\otimes} B^{**} = N(V) \overset{\sigma, \vee}{\otimes} B^{**}.$$

Therefore,  $N(V)$  is also weak\* exact. This proves (1).

To prove (2), we note that  $[M(V), V] = \{[u, v] : u \in M(V), v \in V\}$  is a  $W^*$ -TRO in  $R(V)$  such that

$$N([M(V), V]) = R(V).$$

Since

$$\begin{aligned} [M(V), V] \check{\otimes} B^{**} &= [M(V) \check{\otimes} B^{**}, V \check{\otimes} B^{**}] \\ &= [M(V) \overset{\sigma, \vee}{\otimes} B^{**}, V \overset{\sigma, \vee}{\otimes} B^{**}] \\ &= [M(V), V] \overset{\sigma, \vee}{\otimes} B^{**}, \end{aligned}$$

we can conclude from the above discussion that

$$R(V) \check{\otimes} B^{**} = N([M(V), V]) \check{\otimes} B^{**} = N([M(V), V]) \overset{\sigma, \vee}{\otimes} B^{**} = R(V) \overset{\sigma, \vee}{\otimes} B^{**}.$$

Therefore,  $R(V)$  is weak\* exact. The converse implication is an immediate consequence of Proposition 3.1.  $\square$

As pointed out by Kirchberg [14] (also see Ozawa [16]), a von Neumann algebra  $M$  is weak exact if it contains a weak\* dense exact  $C^*$ -subalgebra  $A$ . The corresponding result is also true for  $W^*$ -TROs.

**Corollary 4.2.** *If  $V$  is a  $W^*$ -TRO containing a weak\* dense exact TRO  $V_0$ , then  $V$  is weak\* exact.*

**Proof.** Let us first note that the weak\* closure, weak operator closure and strong operator closure are all the same for non-degenerate TROs (see [12,23]). If  $V_0$  is an exact TRO, then its linking  $C^*$ -algebra  $A(V_0)$  is exact by [12, Theorem 4.4] and  $A(V_0)$  is weak\* dense in  $R(V)$ . This implies that  $R(V)$  is weak\* exact and thus  $V$  is weak\* exact.  $\square$

## 5. The structure of exact $W^*$ -TROs

It is known from Kirchberg [14] (respectively, from Wassermann [21]) that a von Neumann algebra  $M$  is exact (respectively, nuclear) if and only if  $M$  is subhomogeneous, i.e. we can write

$$M = \prod_{k=1}^m (M_{n_k} \bar{\otimes} L_\infty(X_k, \mu_k)),$$

where  $m$  and  $n_k$  are positive integers and  $L_\infty(X_k, \mu_k)$  are abelian von Neumann algebras. The goal of this section is to study the structure of exact (respectively, nuclear)  $W^*$ -TROs. Without loss of generality, we assume that all  $W^*$ -TROs considered in this section have a separable predual. The corresponding results for general (not necessarily separable)  $W^*$ -TROs can be obtained analogously.

Let us first recall from [19] that a  $W^*$ -TRO  $V$  is said to be *stable* if it is TRO-isomorphic to  $\mathcal{B}(\ell_2(\mathbb{N})) \bar{\otimes} V$  and every stable  $W^*$ -TRO is TRO-isomorphic to its linking von Neumann algebra. Therefore, stable  $W^*$ -TROs cannot be exact. This class of  $W^*$ -TROs includes those of type  $I_{\infty,\infty}$ , type  $II_{\infty,\infty}$  and type III (see [19, Corollary 4.3]). Moreover, it was shown in [19] that as in the von Neumann algebra case, every  $W^*$ -TRO  $V$  can be uniquely decomposed into the direct sum

$$V = V_I \oplus V_{II} \oplus V_{III}$$

of  $W^*$ -TROs of type I, type II, and type III. A  $W^*$ -TRO  $V$  is said to be of type I, type II or type III if its linking von Neumann algebra  $R(V)$  is of type I, type II, or type III. Moreover, we may consider  $W^*$ -TROs of type  $I_{n,m}$  with cardinal numbers  $n$  and  $m$ , and consider  $W^*$ -TROs of type  $II_{\lambda,\mu}$  with  $\lambda, \mu = 1$  or  $\infty$ .

**Proposition 5.1.**  *$W^*$ -TROs of type II are not exact.*

**Proof.** We have seen from the above discussion that a  $W^*$ -TRO of type  $II_{\infty,\infty}$  is not exact. Now if  $V$  is a  $W^*$ -TRO of type  $II_{1,\infty}$  (respectively, type  $II_{\infty,1}$ ), then there exists an index set  $I$  such that  $V$  is TRO-isomorphic to the row space  $M_{1,I}(M(V))$  (respectively,  $V$  is TRO isomorphic to the column space  $M_{I,1}(N(V))$ ) of the von Neumann algebra  $M(V)$  (respectively,  $N(V)$ ) associated with  $V$  (see [19, Theorem 4.4]). Since exactness is a local property (i.e. if  $V$  is exact, then any subspace of  $V$  must be exact),  $V$  cannot be exact.

Finally, we show that  $W^*$ -TROs of type  $II_{1,1}$  are not exact. Indeed, since  $V$  is a nice normal Hilbert module, there exists a partial isometry  $0 \neq v \in V$  such that  $e = vv^* \neq 0$  is a projection in  $M(V)$  and  $f = v^*v \neq 0$  is a projection in  $N(V)$ . The following map

$$T : exf \in eVf \rightarrow exfv^* = exv^*e \in eM(V)e$$

is a TRO-isomorphism from the sub-TRO  $eVf$  of  $V$  onto the von Neumann subalgebra  $eM(V)e$  of  $M(V)$ , which has a TRO-inverse

$$S : eye \in eM(V)e \rightarrow eyev = eyvf \in eVf.$$

This shows that  $V$  contains a sub-TRO, which is TRO-isomorphic to a type  $II_1$  von Neumann algebra. Therefore,  $V$  cannot be exact.  $\square$

The only possible exact  $W^*$ -TROs must be non-stable and of type I. In this case, we can write (up to TRO-isomorphism)

$$V = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_{\infty}(X_k, \mu_k)), \quad (5.1)$$

where for each  $k \in \mathbf{N}$ ,  $n_k$  and  $m_k$  are positive integers or  $\infty$ , but not both equal to  $\infty$  at the same time. A  $W^*$ -TRO is said to be *row subhomogeneous* (respectively, *column subhomogeneous*) if it is of type I with  $\sup_k \{n_k\} < \infty$  (respectively,  $\sup_k \{m_k\} < \infty$ ).

**Lemma 5.2.** *Every row subhomogeneous (respectively, column subhomogeneous)  $W^*$ -TRO is nuclear.*

**Proof.** Let us consider the case when  $V$  is a row subhomogeneous  $W^*$ -TRO, i.e. we have

$$V = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_{\infty}(X_k, \mu_k))$$

with  $1 \leq m_k \leq \infty$ , but  $n = \sup_k n_k < \infty$ . The column subhomogeneous case can be proved similarly. Let us first assume that

$$V = \prod_{k=1}^{\infty} M_{1, m_k}.$$

For each  $k \in \mathbf{N}$ , we let  $P_k : V \rightarrow M_{1, m_k}$  denote the completely contractive projection from  $V$  onto the row Hilbert space  $M_{1, m_k}$ . If  $L$  is an arbitrary finite-dimensional subspace of  $V$  with  $l = \dim L < \infty$ , then  $P_k(L)$  is a finite-dimensional subspace of  $M_{1, m_k}$ . It is clear that  $P_k(L)$  is again a row Hilbert space with dimension  $\dim P_k(L) \leq l$ . Therefore, we may (completely isometrically) identify  $P_k(L)$  with an operator subspace of the  $l$ -dimensional row Hilbert space  $R_l$ . So we can obtain the following completely isometric embeddings:

$$L \rightarrow \prod_{k=1}^{\infty} P_k(L) \rightarrow \prod_{k=1}^{\infty} R_l = R_l \bar{\otimes} \ell_{\infty}(\mathbf{N}).$$

Since  $R_l \bar{\otimes} \ell_\infty(\mathbf{N}) = R_l \check{\otimes} \ell_\infty(\mathbf{N})$  is a nuclear operator space, this shows that  $L$  is an exact operator space. Therefore,  $V$  is exact. Moreover, it is obvious that  $V$  is an injective  $W^*$ -TRO and thus has the WEP (weak expectation property). Since an operator space is nuclear if and only if it is exact (or locally reflexive) and has the WEP (see [7, Theorem 4.5]), we can conclude that  $V$  is a nuclear operator space.

It follows from the above discussion that

$$V = M_{n,\infty} \bar{\otimes} \ell_\infty(\mathbf{N}) = \prod_{k=1}^{\infty} M_{n,\infty} = \prod_{k=1}^{\infty} (M_{n,1} \check{\otimes} M_{1,\infty}) = M_{n,1} \check{\otimes} \left( \prod_{k=1}^{\infty} M_{1,\infty} \right)$$

is nuclear. If  $L_\infty(X, \mu)$  is a commutative von Neumann algebra with a separable predual, it is known from the Hahn–Banach theorem that we may (completely) isometrically identify  $L_\infty(X, \mu)$  with a (completely) contractively complemented (operator) subspace of  $\ell_\infty(\mathbf{N})$ . Therefore,  $M_{n,\infty} \bar{\otimes} L_\infty(X, \mu)$  is nuclear since it can be completely isometrically identified with a completely contractively complemented operator subspace of  $M_{n,\infty} \bar{\otimes} \ell_\infty(\mathbf{N})$ . The same idea can be applied to prove that

$$V = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_\infty(X_k, \mu_k)) \subseteq \prod_{k=1}^{\infty} (M_{n,\infty} \bar{\otimes} \ell_\infty(\mathbf{N})) = M_{n,\infty} \bar{\otimes} \ell_\infty(\mathbf{N} \times \mathbf{N})$$

is a nuclear operator space.  $\square$

Now we are ready to state our main theorem in this section.

**Theorem 5.3.** *Let  $V$  be a  $W^*$ -TRO. Then the following are equivalent:*

- (1)  $V$  is nuclear;
- (2)  $V$  is exact;
- (3) we can decompose  $V$  into the direct sum

$$V = V_r \oplus_\infty V_c$$

of a row subhomogeneous  $W^*$ -TRO  $V_r$  and a column subhomogeneous  $W^*$ -TRO  $V_c$ .

**Proof.** (1)  $\Rightarrow$  (2) is obviously and (3)  $\Rightarrow$  (1) follows from Lemma 5.2. We only need to prove (2)  $\Rightarrow$  (3).

Assume that  $V$  is an exact  $W^*$ -TRO. Then we must have

$$V = \prod_{k=1}^{\infty} (M_{n_k, m_k} \bar{\otimes} L_\infty(X_k, \mu_k)),$$

where for each  $k$ ,  $n_k$  and  $m_k$  are not both equal to  $\infty$ . We first claim that there are no subsequences  $n_{k_j} \rightarrow \infty$  and  $m_{k_j} \rightarrow \infty$  simultaneously. Suppose that there exist  $n_{k_j} \rightarrow \infty$  and  $m_{k_j} \rightarrow \infty$  simultaneously. Then we can find a copy of type I von Neumann algebra  $M = M_1 \oplus M_2 \oplus \cdots$  contained in  $V$  and thus  $V$  is not exact, which is a contradiction.

Next, we claim that there exists a (disjoint) partition  $I \cup J = \mathbf{N}$  of  $\mathbf{N}$  such that  $\sup\{n_{k_i} \mid i \in I\} < \infty$  and  $\sup\{m_{k_j} \mid j \in J\} < \infty$ . Indeed, assume that this is not true. We let

$I^l = \{i \in \mathbf{N} : n_{k_i} \leq l\}$  for each  $l \in \mathbf{N}$ . Then there exist infinitely many positive integers, say,  $l_1 < l_2 < \dots$ , such that

$$\sup\{m_{k_j} : j \in (I^{l_i})^c = \mathbf{N} \setminus I^{l_i}\} = \infty.$$

Then for each  $i \in \mathbf{N}$ , there exists  $j_i \in (I^{l_i})^c$  such that  $m_{k_{j_i}} > l_i$ . In this case, we must have  $n_{k_{j_i}} > l_i$ . Then we can apply an induction procedure to pick up sequences  $n_{k_{j_i}}, m_{k_{j_i}}$  with

$$n_{k_{j_1}} < n_{k_{j_2}} < \dots \rightarrow \infty \quad \text{and} \quad m_{k_{j_1}} < m_{k_{j_2}} < \dots \rightarrow \infty.$$

This is a contradiction and the assertion is proved.

Let  $I \cup J = \mathbf{N}$  be a (disjoint) partition of  $\mathbf{N}$  discussed in the above claim. Then  $V_r = \prod_{i \in I} (M_{n_{k_i}, m_{k_i}} \bar{\otimes} L_\infty(X_{k_i}, \mu_{k_i}))$  is a row subhomogeneous  $W^*$ -TRO and  $V_c = \prod_{j \in J} (M_{n_{k_j}, m_{k_j}} \bar{\otimes} L_\infty(X_{k_j}, \mu_{k_j}))$  is a column subhomogeneous  $W^*$ -TRO. In this case, we can write  $V = V_r \oplus_\infty V_c$ .  $\square$

Since every nuclear dual operator space is injective and thus is a  $W^*$ -TRO, we can obtain the following corollary.

**Corollary 5.4.** *Let  $V$  be a dual operator space. Then  $V$  is nuclear if and only if  $V$  is an exact (respectively, nuclear)  $W^*$ -TRO.*

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